



#7

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, May 30, 2003.

Kent E. Genin

Name of Applicant, Assignee or  
Registered Representative

Signature

Date of Signature

CASE NO. 3614/63

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
A. Chow et al. )  
)  
Serial No. 10/056,793 )  
)  
Filed: January 23, 2002 )  
)  
For: METHOD FOR IMPROVING )  
DAMAGED RETINAL CELL )  
FUNCTION )

Examiner: Unknown

Group Art Unit: 3762

TC 3700 MAIL ROOM

JUN -9 2003

RECEIVED

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. § 1.97-1.99, Applicant hereby submits the following references for consideration by the Examiner. Copies of each have been enclosed along with the form PTO-1449.

### U.S. PATENT DOCUMENTS

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
6,393,327 B1	05/21/02	Scribner

### OTHER ART

Copy of International Search Report for PCT/US02/20557 dated May 1, 2003.



FORM PTO-1449	SERIAL NO. 10/056,793	CASE NO. 3614/63
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE January 23, 2002	GROUP ART UNIT 3762
APPLICANT(S): A. Chow et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

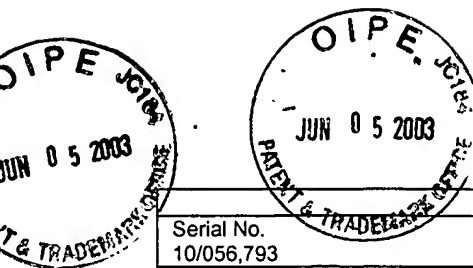
EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D1	6,393,327 B1	05/21/02	Scribner		

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	D2	Copy of International Search Report for PCT/US02/20557 dated May 1, 2003.
	D3	Wen, R. et al., "Injury-Induced Upregulation of bFGF and CNTF mRNAs in the Rat Retina", <i>The Journal of Neuroscience</i> , November 1995, pp. 7377-7385.

RECEIVED  
JUN -9 2003  
TC 3700 MAIL ROOM

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



3762

TRANSMITTAL LETTER			Case No. 3614/63
Serial No. 10/056,793	Filing Date January 23, 2002	Examiner Unknown	Group Art Unit 3762
Inventor(s) A. Chow et al.			
Title of Invention Method For Improving Damaged Retinal Cell Function			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; One Copy of References D1-D3.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

*Handwritten signature/initials*

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

*Handwritten signature of Kent E. Genin*

Kent E. Genin  
Registration No. 37,834  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 30, 2003.

Date:

*Handwritten date: 5/30/2003*

Signature:

*Handwritten signature of Kent E. Genin*

RECEIVED  
JUN - 9 - 2003  
TC 3700 MAIL ROOM

US 100567930AP1



Creation date: 11-16-2004  
Indexing Officer: HNGUYEN29 - HOANGANH NGUYEN  
Team: OIPEBackFileIndexing  
Dossier: 10056793

Legal Date: 06-13-2003

No.	Doccode	Number of pages
1	IDS	5

Total number of pages: 5

Remarks:

Order of re-scan issued on .....